



tfu

Attorney Docket No.: PATENT
SONY-26500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Xin Xue et al.

Serial No.: 10/666,402

Filed: September 17, 2003

For: **MIDDLEWARE FILTER AGENT
BETWEEN SERVER AND PDA**

) Group Art Unit: 2194

) Examiner: Price, Nathan E.

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No. 28960

MS: AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. 1.97(e)(2) for the Supplemental Information Disclosure Statement, and Form PTO-1449 including one (1) reference attached herewith, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: October 7, 2008

By: *Jonathan O. Owens*
Jonathan O. Owens
Reg No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 10/7/08 *[Signature]*



Attorney Docket No.: PATENT
SONY-26500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 2194
Xin Xue et al.)	Examiner: Price, Nathan E.
Serial No.: 10/666,402)	<u>CERTIFICATION FOR</u>
Filed: September 17, 2003)	<u>SUPPLEMENTAL INFORMATION</u>
For: MIDDLEWARE FILTER AGENT)	<u>DISCLOSURE STATEMENT UNDER 37</u>
BETWEEN SERVER AND PDA)	<u>CFR § 1.97(e)(2)</u>
)	162 N. Wolfe Road
)	Sunnyvale, CA 94086
)	(408) 530-9700
)	Customer No.: 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on August 11, 2008, August 29, 2008 or September 11, 2008. **Accordingly, I hereby certify that no items contained within this supplemental information disclosure statement were cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, that no items contained within this supplemental information disclosure statement were known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)**

Attorney Docket No.: PATENT
SONY-26500

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: October 7, 2008

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 10/7/08 By: [Signature]



PATENT
Attorney Docket No.: SONY-26500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 2194
)	
Xin Xue et al.)	Examiner: Price, Nathan E.
)	
Serial No.: 10/666,402)	<u>SUPPLEMENTAL INFORMATION</u>
)	<u>DISCLOSURE STATEMENT</u>
Filed: September 17, 2003)	
)	162 N. Wolfe Road
For: MIDDLEWARE FILTER AGENT)	Sunnyvale, CA 94086
BETWEEN SERVER AND PDA)	(408) 530-9700
)	
)	Customer No.: 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed below may be material to the examination of the above-identified application and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No.: 5,577,232;
- U.S. Patent No.: 5,951,639;
- U.S. Patent No.: 6,031,830;
- U.S. Patent No.: 7,404,142 B1;
- U.S. Publication No.: 2002/0038319 A1;
- U.S. Publication No.: 2002/0059583 A1;
- U.S. Publication No.: 2002/0198962 A1;
- U.S. Publication No.: 2003/0041147A1;
- U.S. Publication No.: 2003/0140068 A1;
- U.S. Publication No.: 2004/0194279 A1
- U.S. Publication No.: 2005/0055686 A1; and

- Michael Ehrmantraut, Theo Harder, Hartmut Wittig, Ralf Steinmetz, "The Personal Electronic Program Guide-Towards the Pre-selection of Individual TV Programs", 1996 ACM 0-89791-873-8/96/11, Pages 243-250, CIKM 96, Rockville MD USA.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: October 7, 2008

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.
Date: 10/7/08 By: 